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MAR 02 2007

Regular Correspondence:  
195 North Harbor Drive, Suite 5403, Chicago Illinois 60601-7542

Docketed Correspondence:  
Post Office Box 7131, Chicago Illinois 60680-7131

**Peter K. Trzyna, Esq.**

Telephone: (312) 240-0824 Facsimile: (312) 240-0825

E-mail: pkt-law@sboglobal.net

# Fax

**To:** Examiner Naresh Vig (3629)**Re:** 10/719,474 Revocation of Power of

Attorney with New Power of Attorney and

Change of Correspondence Address

**Firm:** United States Patent and Trademark Office**Date / Time:** March 2, 2007**Street Address:****Phone:** (703) 305-5595**City, State Zip:** Alexandria, VA 22313**Fax:** (571) 273-8300**cc:****No. of Pages:** 13 (including cover)PRIVACY AND CONFIDENTIALITY NOTICE

The information contained in this communication is confidential and may be legally privileged. It is intended solely for the use of the individual or entity to whom it is addressed and other authorized to receive it. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution or taking of any action in reliance on the contents of this information is strictly prohibited. If you received this communication in error, please immediately notify us by a collect telephone call to the writer at the writer's direct number indicated above, and return the original message and documents to the sender at the above address via the United States postal service.

**Message:**

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**MAR 02 2007**

**Peter K. Trzyna, Esq.**

195 North Harbor Dr. # 5403  
Chicago, Illinois 60601

Post Office Box 7131  
Chicago, Illinois 60680-7131

Telephone: (312) 240-0824  
Facsimile: (312) 240-0825  
E-mail: pktaw@msn.com

March 2, 2007

Mr. Richard Graff  
Graff/Ross Holdings  
400 North Michigan Ave. #1616  
Chicago, IL 60611

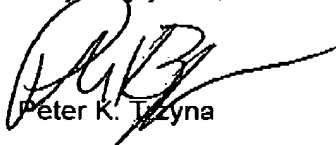
**Re: U.S. Application No.: 10/719,474;  
Titled: "NON-DEBT FUNDING SYSTEM  
FOR HOME FINANCE";  
Filed: November 21, 2003;  
Our Reference No.: Graff-P2-03;  
New Power of Attorney**

Dear Richard:

Enclosed please find copies of the Revocation of Power of Attorney with New Power of Attorney and Change of Correspondence Address, Notice of Recordation recorded at Reel 018918, Frame 0388 and Statement Under 37 CFR 3.73(b) filed with the U.S. Patent and Trademark Office today.

Please feel free to call me if you have any questions.

Very truly yours,



Peter K. Trzyna

PKT.eb  
Enclosures

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I hereby certify that this correspondence is being filed by facsimile and is addressed to MS: No Fee Amendment, The Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Date: March 2, 2007Signed:   
Peter K. Trzyna (Reg. No. 32,601)

PATENT

Paper No.

File: Graff-P2-03

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Inventor	:	GRAFF, Richard A.
Serial No.	:	10/719,474
Filed	:	November 21, 2003
For	:	NON-DEBT FUNDING SYSTEM FOR HOME FINANCE
Group Art Unit	:	3621
Examiner	:	VIG, Naresh

---

MS: No Fee Amendment  
The Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

SIR:

Transmitted herewith for filing in the above-identified patent application are the following:

1. Revocation of Power of Attorney with New Power of Attorney and Change of Correspondence Address;
2. Notice of Recordation recorded at Reel 018918, Frame 0388; and
3. Statement Under 37 CFR 3.73(b).

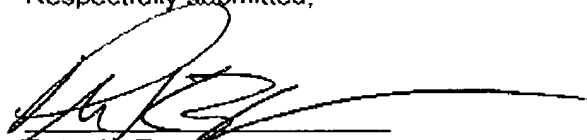
Ser. No. 10/719,474  
Atty. Ref. Graff-P2-03  
Art Unit 3621

Applicant claims **small entity status**. The Commissioner is hereby authorized to charge any fees associated with the above-identified patent application or credit any overcharges to Deposit Account No. 50-0235.

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,

Date: March 2, 2007

  
Peter K. Trzyna  
(Reg. No. 32,601)

P.O. Box 7131  
Chicago, IL 60680-7131  
(312) 240-0824

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PTO/SB/82 (01-06)

Approved for use through 12/31/2008. OMB 0651-0035

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**REVOCATION OF POWER OF  
ATTORNEY WITH  
NEW POWER OF ATTORNEY  
AND  
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	10/719,474
Filing Date	November 21, 2003
First Named Inventor	Graff, Richard A.
Art Unit	3621
Examiner Name	Vig, Naresh
Attorney Docket Number	Graff-P2-03

**I hereby revoke all previous powers of attorney given in the above-identified application.**

☐ A Power of Attorney is submitted herewith.

**OR**

☒ I hereby appoint the practitioners associated with the Customer Number:

28710

☒ Please change the correspondence address for the above-identified application to:

☒ The address associated with  
Customer Number:

28710

**OR**

☐ Firm or  
Individual Name

Address

City

State

Zip

Country

Telephone

Email

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

Signature

Name

Richard A. Graff, Manager of Window Bench I L.L.C., Partner in Graff/Ross H

Date

Feb 1 2007

Telephone

(312) 923-8144

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.38. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

TO: PETER K. TRZYNA, ESQ. COMPANY: P.O. BOX 7131



**UNITED STATES PATENT AND TRADEMARK OFFICE**

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

FEBRUARY 22, 2007

PTAS - LW

**\*700311601A\***

PETER K. TRZYNA, ESQ.  
P.O. BOX 7131  
CHICAGO, IL 60680-7131

\*700311601A\*

**UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 02/20/2007

REEL/FRAME: 018918/0388  
NUMBER OF PAGES: 7

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

**ASSIGNOR:**

GRAFF, RICHARD A.

DOC DATE: 02/01/2007

**ASSIGNEE:**

GRAFF/ROSS HOLDINGS LLP  
400 N. MICHIGAN AVENUE  
SUITE 1616  
CHICAGO, ILLINOIS 60611

SERIAL NUMBER: 10719474

FILING DATE: 11/21/2003

PATENT NUMBER:

ISSUE DATE:

TITLE: NON-DEBT FUNDING SYSTEM FOR HOME FINANCE

03/02/2007 16:08 13122400825

PETER K. TRZYNA, ES.

PAGE 07/14

USPTO

2/27/2007 3:23:07 AM PAGE 3/004 Fax Server

TO: PETER K. TRZYNA, ESQ. COMPANY: P.O. BOX 7131

018918/0388 PAGE 2

ASSIGNMENT SERVICES BRANCH  
PUBLIC RECORDS DIVISION

TO: PETER K. TRZYNA, ESQ. COMPANY: P.O. BOX 7131

02/28/2007 15:24

13122400825

PETER K. TRZYNA, ES.

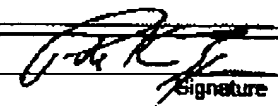
PAGE 04/87

02/20/2007  
700311601

Form PTO-1595 (Rev. 07/05)

OMB No. 0651-0047 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY	
To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.	
1. Name of conveying party(ies) GRAFF, Richard A.	2. Name and address of receiving party(ies) Name: Graff Corp Holdings LLP Internal Address: Suite 1818 Street Address: 400 N. Dearborn Avenue City: Chicago State: IL Country: USA Zip: 60611
Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Nature of conveyance/Execution Date(s): Execution Date(s) February 1, 2007 <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Joint Research Agreement <input type="checkbox"/> Government Interest Assignment <input type="checkbox"/> Executive Order 8424, Confirmatory License <input type="checkbox"/> Other	4. Application or patent number(s): <input type="checkbox"/> This document is being filed together with a new application. A. Patent Application No.(s) 10/719,474 B. Patent No.(s)
Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
5. Name and address to whom correspondence concerning document should be mailed: Name: Peter K. Trzyna, Esq. Internal Address: Street Address: P.O. Box 7131 City: Chicago State: IL Zip: 60609-7131 Phone Number: (312) 240-0824 Fax Number: (312) 240-0825 Email Address:	6. Total number of applications and patents involved: 1 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00 <input type="checkbox"/> Authorized to be charged by credit card <input checked="" type="checkbox"/> Authorized to be charged to deposit account <input type="checkbox"/> Enclosed <input type="checkbox"/> None required (government interest not affecting fee) 8. Payment Information a. Credit Card Last 4 Numbers Expiration Date b. Deposit Account Number 50-0236 Authorized User Name Peter K. Trzyna
9. Signature:  Peter K. Trzyna, Esq. Name of Person Signing	February 20, 2007 Date Total number of pages including cover sheet, attachments, and documents: 7

Documents to be recorded (including cover sheet) should be filed in (871) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1400, Alexandria, VA 22313-1400

PAGE 47 \* RCVD AT 2/20/2007 3:30:25 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-5/10 \* DNIS:2730140 \* CSID:13122400825 \* DURATION (mm:ss):00:58

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PAGE 8/14 \* RCVD AT 3/2/2007 4:14:29 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-5/22 \* DNIS:2738300 \* CSID:13122400825 \* DURATION (mm:ss):02:02



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facsimile and is addressed to MS: Assignment Recordation Services,  
Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450  
on the date indicated below.

Date: February 26, 2007

Signed:   
Peter K. Trzyna (Reg. No. 52,601)

PATENT

Paper No.

File: Graff-P2-03

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : GRAFF, Richard A.  
Serial No. : 10/719,474  
Filed : November 21, 2003  
For : NON-DEBT FUNDING SYSTEM FOR HOME FINANCE  
Group Art Unit : 3621  
Examiner : VIG, Naresh

MS: Assignment Recordation Services  
Honorable Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

SIR:

Transmitted herewith for filing in the above-identified patent application are the  
following:

1. Assignment of Invention; and
2. Recordation Cover Sheet.

**Applicant claims small entity status.** The Commissioner is hereby authorized  
to charge any fees associated with the above-identified patent application or credit any  
overcharges to Deposit Account No. 50-0235.

Ser. No. 10/719,474  
Atty. Ref. Graff-P2-03  
Art Unit 3621

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,

Date: February 20, 2007



Peter K. Trzyna  
(Reg. No. 32,601)

P.O. Box 7131  
Chicago, IL 60680-7131  
(312) 240-0824

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facsimile and addressed to MS: Assignment Recordation Services,  
Commissioner of Patents, P.O. Box 1450, Washington, D.C. 22313  
on the date indicated below.

Date: February 20, 2007Signed: 

Peter K. Trzyna (Reg. No. 32801)

PATENT

Paper No.

File No. Graff-P2-03

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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Inventor	:	GRAFF, Richard A.
Serial No.	:	10/719,474
Filed	:	November 21, 2003
For	:	NON-DEBT FUNDING SYSTEM FOR HOME FINANCE
Group Art Unit	:	3621
Examiner	:	VIG, Naresh

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MS: Assignment Recordation Services  
Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**ASSIGNMENT OF INVENTION**

S I R :

WHEREAS, Richard A. Graff (hereinafter "I"), the undersigned is the inventor of  
the subject matter described in the above-referenced patent application; and

WHEREAS, Graff/Ross Holdings LLP (hereinafter, the "Assignee"), a corporation  
organized under the laws of the State of Illinois, having an address at 400 N. Michigan Avenue,  
Suite 1616, Chicago, IL 60611, has an acknowledged right to the entire right, title, and interest  
therein, thereto, and thereunder, including, patent rights and any applications and patents  
related thereto or claiming priority therefrom, not including continuation-in-part applications or  
reissue applications;

NOW, THEREFORE, in consideration of ten dollars (\$10.00) in hand paid to me,

Ser. No. 10/719,474  
Atty. Ref. Graff-P2-03

the receipt of which by me is hereby acknowledged, and/or other good and valuable consideration received by me, I hereby acknowledge that I have sold, assigned, transferred, and set over, and by these presents do hereby sell, assign, transfer, and set over, unto the Assignee, its successors, and assigns, the entire right, title and interest in, to, and under any and all inventions disclosed or described in the above-identified patent application and any and all rights thereto, including the above-identified patent application, any and all United States and foreign patents which may be granted pursuant thereto, and any and all United States and foreign applications, continuations, divisions, renewals, and substitutes thereof; and I hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue all Letters Patent for said invention to the Assignee its successors, and assigns, in accordance with the terms of this instrument;

AND I HEREBY covenant that I have full right to convey the entire interest assigned, and that I have not executed, and will not execute, any agreement in conflict herewith;

AND I HEREBY further covenant that I respectively will communicate to the Assignee, its successors, legal representatives, and assigns, any facts known respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, and continuing, make all rightful oaths, and generally do everything possible to aid the assignee, its successors, legal representatives, and assigns, to obtain and enforce proper patent protection for said invention;

THIS ASSIGNMENT of Invention is the entire agreement as to its subject matter. If any portion of this Assignment of Invention is determined to be invalid, illegal, or unenforceable under any present or future law by the final judgment of any court of competent jurisdiction, the remainder of this Assignment of Invention shall not be effected thereby. It is the intention of the undersigned that if any portion of this Assignment of Invention is determined to

Ser. No. 10/719,474  
Atty. Ref. Graff-P2-03

be invalid, a portion as similar in terms to such portion as is possible to be legal, valid, and enforceable shall be added in lieu thereof.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal on the day and year set opposite my signature.

Date: Feb. 1, 2008 Richard A. Graff  
Richard A. Graff  
Residence and Post Office Address: 221 W. Walton Place, No. 1502  
Chicago, IL 60611  
Citizenship: US

MAR 02 2007

PTO/SB/98 (09-08)

Approved for use through 03/31/2007, OMB 0651-0031  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Graff/Ross Holdings LLPApplication No./Patent No.: 10/719,474 Filed/Issue Date: November 21, 2003Entitled: NON-DEBT FUNDING SYSTEM FOR HOME FINANCEGraff/Ross Holdings LLP, a Partnership  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

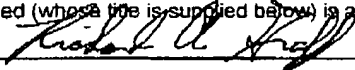
B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

  
\_\_\_\_\_  
SignatureFeb. 1, 2007  
\_\_\_\_\_  
DateRichard A. Graff(312) 923-8144

Printed or Typed Name

Telephone Number

Manager of Window Bench I L L C., Partner  
\_\_\_\_\_  
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.